



## Root Effect Hemoglobin May Have Evolved to Enhance General Tissue Oxygen Delivery

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**Supplementary Materials**  
[www.sciencemag.org/cgi/content/full/340/6138/1324/DC1](http://www.sciencemag.org/cgi/content/full/340/6138/1324/DC1)  
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# Root Effect Hemoglobin May Have Evolved to Enhance General Tissue Oxygen Delivery

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The Root effect is a pH-dependent reduction in hemoglobin-O<sub>2</sub> carrying capacity. Specific to ray-finned fishes, the Root effect has been ascribed specialized roles in retinal oxygenation and swimbladder inflation. We report that when rainbow trout are exposed to elevated water carbon dioxide (CO<sub>2</sub>), red muscle partial pressure of oxygen (PO<sub>2</sub>) increases by 65%—evidence that Root hemoglobins enhance general tissue O<sub>2</sub> delivery during acidotic stress. Inhibiting carbonic anhydrase (CA) in the plasma abolished this effect. We argue that CA activity in muscle capillaries short-circuits red blood cell (RBC) pH regulation. This acidifies RBCs, unloads O<sub>2</sub> from hemoglobin, and elevates tissue PO<sub>2</sub>, which could double O<sub>2</sub> delivery with no change in perfusion. This previously undescribed mechanism to enhance O<sub>2</sub> delivery during stress may represent the incipient function of Root hemoglobins in fishes.

In vertebrates, hemoglobin (Hb) plays a crucial role in optimizing tissue oxygen (O<sub>2</sub>) delivery by increasing blood O<sub>2</sub>-carrying capacity and regulating the partial pressure (PO<sub>2</sub>) at which O<sub>2</sub> is delivered. Within tissues (such as muscle), metabolically produced carbon dioxide (CO<sub>2</sub>) reduces blood pH and thus Hb-O<sub>2</sub> affini-

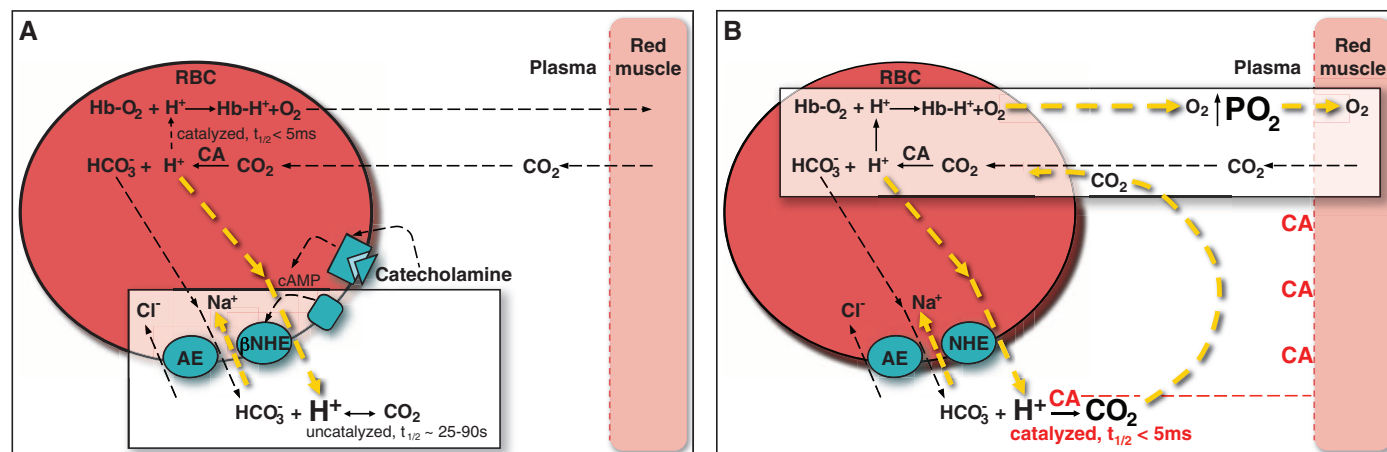
ty, elevating blood PO<sub>2</sub> and enhancing O<sub>2</sub> delivery, which is collectively termed the Bohr effect (1). In mammals, this may elicit an increase in blood PO<sub>2</sub> of up to 2 mmHg (2, 3) in vivo, providing some 5% increase in O<sub>2</sub> delivery. Teleost fishes often have much more pH-sensitive Hbs, and a recent in vitro study indicates that this ef-

fect may be an order of magnitude greater (4). The current study confirms this in vivo. Enhanced O<sub>2</sub> delivery may represent an important step in the extraordinary adaptive radiation of the teleost fishes (5), which make up almost half of all vertebrate species.

In teleosts, a reduction in blood pH reduces both Hb-O<sub>2</sub> affinity and O<sub>2</sub> carrying capacity, which is known as the Root effect (6) and has a well-studied role in securing O<sub>2</sub> delivery to the retina and swimbladder (7, 8). These tissues possess specialized acid-producing cells in conjunction with a dense counter-current capillary network (*rete*) that localizes and magnifies a large acidosis, thus promoting O<sub>2</sub>-offloading via the Root effect (7, 8). This system is effective enough to generate O<sub>2</sub> tensions exceeding 50 atm (~38,000 mmHg) within the gas-filled swimbladder (7).

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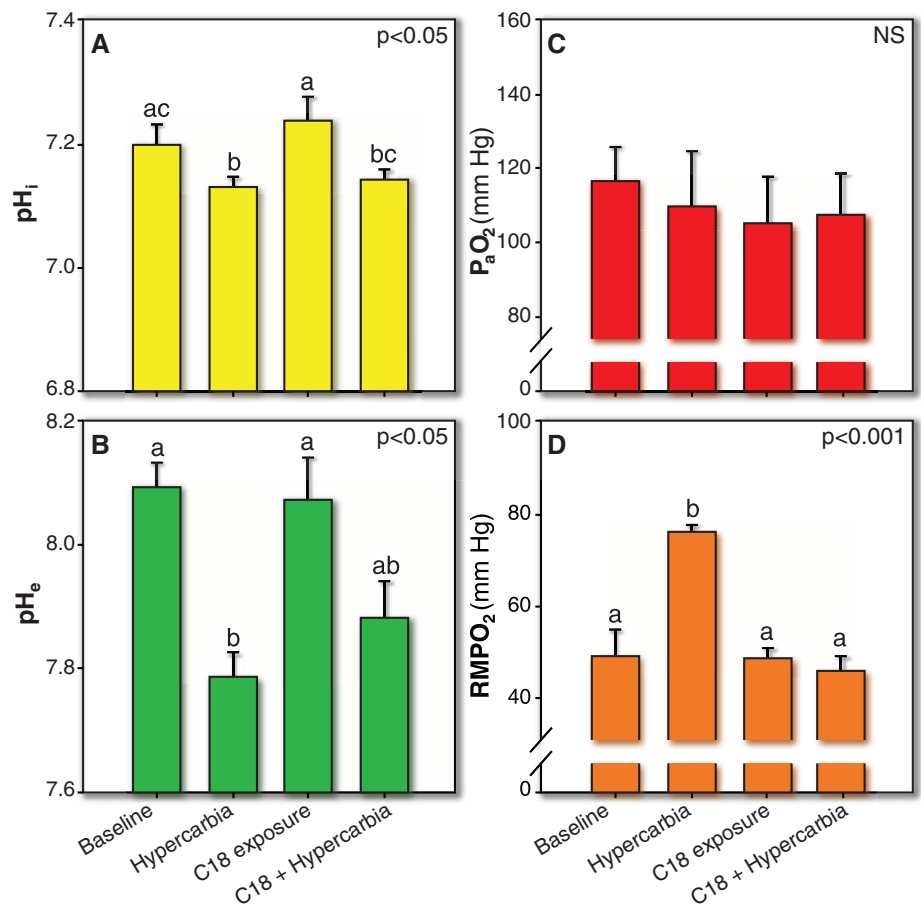
**Fig. 1. (A and B) Schematic representation of a catecholamine-activated RBC pH disequilibrium (A) short-circuited by plasma-accessible CA to elevate tissue PO<sub>2</sub> (B).** AE, anion exchange; cAMP, adenylate cyclase and 3',5'-cyclic monophosphate.

The Root effect could, however, be a liability because it could severely limit  $O_2$  uptake at the gills if systemic blood becomes too acidic. Many teleosts release stress hormones (noradrenaline and adrenaline) that stimulate RBC  $Na^+/H^+$  exchange ( $\beta$ NHE), which removes  $H^+$  in exchange for  $Na^+$ , creating a pH disequilibrium across the RBC membrane (Fig. 1A) (9). This increases RBC pH and ensures  $O_2$  loading at the gill during acidotic stress associated with exhaustive exercise, hypoxia, or hypercarbia (Fig. 1A) (10, 11). Carbonic anhydrase (CA), which catalyzes the reversible conversion of  $HCO_3^-$  and  $H^+$  to  $CO_2$  for diffusive excretion, would rapidly short-circuit this process (Fig. 1B) (4, 12). Consequently, there is no plasma-accessible CA in the gills of teleosts (13), and this is understood to ensure  $O_2$ -loading during stressful conditions. However, there is evidence for plasma-accessible CA in muscle capillaries (14, 15). This would short-circuit  $\beta$ NHE, reduce RBC pH and Hb- $O_2$  affinity, and enhance  $O_2$ -unloading and tissue delivery (Fig. 1B). A recent in vitro study provided proof of principle that this can occur; exposure of acidified and adrenergically stimulated rainbow trout blood to CA elevated  $PO_2$  by over 30 mmHg (4, 12). Thus, plasma-accessible CA may allow Root effect Hbs to enhance  $O_2$  delivery to aerobic tissues during acidotic stress (4, 12). The present study was designed specifically to determine whether plasma-accessible CA and the associated elimination of RBC pH disequilibrium states in capillary beds could markedly increase tissue  $PO_2$  and the driving force for  $O_2$  delivery in vivo.

We implanted fiber optic  $O_2$  sensors into rainbow trout red muscle (RM) (fig. S1) to monitor RM  $PO_2$  in real-time. We then monitored changes in RM  $PO_2$  during a mild acidosis elicited by hypercarbia (elevated water  $CO_2$ ), which should enhance the RBC pH disequilibrium at the gills. We hypothesized that if present in the RM capillary endothelium, plasma-accessible CA would abolish the pH disequilibrium and increase RM  $PO_2$ . To provide evidence for a role of plasma-accessible CA in this phenomenon, a new membrane-impermeant CA inhibitor, C18 [compound 18, laboratory-synthesized according to (16)] (fig. S2), was injected into the bloodstream so as to abolish the hypercarbic increase in RM  $PO_2$ .

After anesthesia, dorsal aorta (DA) cannulation, and RM  $O_2$  sensor implantation (fig. S1) (17), rainbow trout recovered overnight in a black Perspex chamber while RM  $PO_2$  was continuously monitored. In the morning, blood was sampled, and fish were lightly anaesthetized and treated with a skeletal muscle relaxant (tubocurarine) so as to prevent movement of the fish and damage to the  $O_2$  sensor during hypercarbia. All blood variables recovered by  $90 \pm 21$  min after tubocurarine, which represented "baseline" conditions against which subsequent responses were compared (Fig. 2 and fig. S3).

Fish were force-ventilated to ensure that arterial  $PO_2$  ( $P_{aO_2}$ ;  $111.1 \pm 14.9$  mmHg), Hb- $O_2$



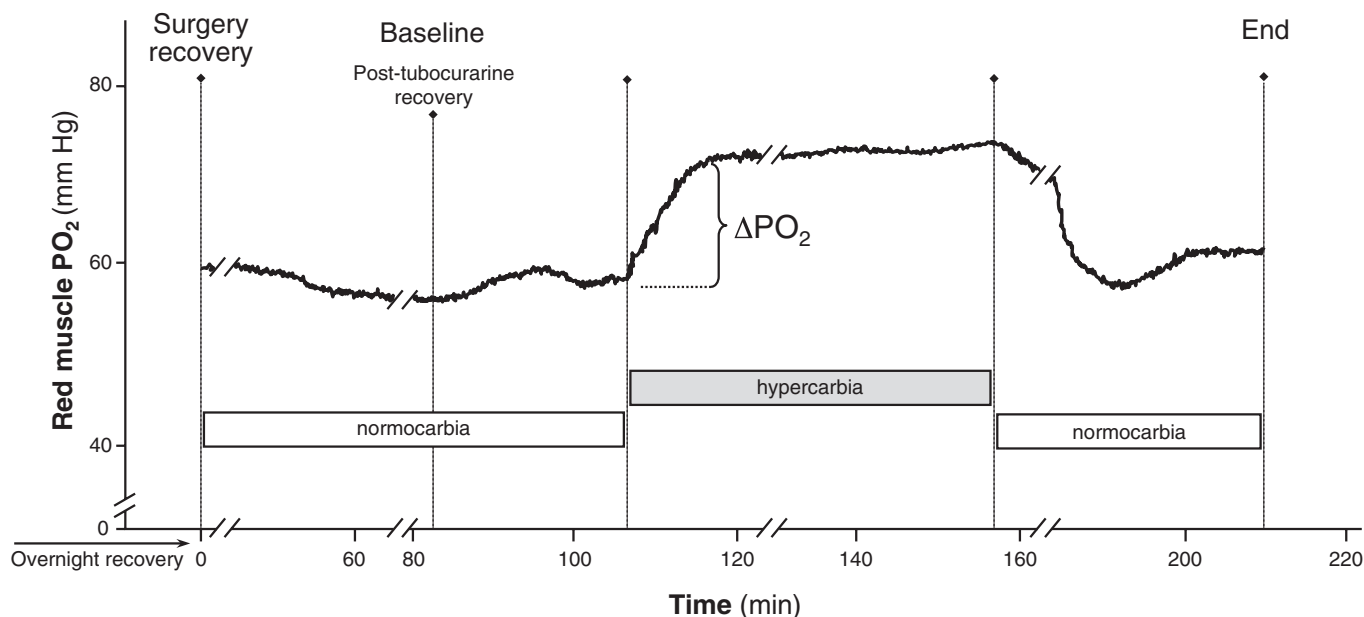
**Fig. 2. The effect of hypercarbia, membrane-impermeant carbonic anhydrase inhibitor (C18) and combined hypercarbia and C18 on (A)  $pH_i$ , (B)  $pH_e$ , (C)  $P_{aO_2}$ , and (D) RM  $PO_2$ .** Different lowercase letters demarcate significant differences between treatment groups within a panel. All data are presented as means  $\pm$  SEM,  $n = 7$  to 11 fish per treatment per treatment. Statistical significance (repeated measures analysis of variance) is indicated with  $P$  values (nonsignificance is indicated "NS") and assessed using  $\alpha < 0.05$ .

saturation (86.8 to 99.0%), and  $O_2$  content ( $7.1$  to  $7.7$  ml  $O_2$  100 ml blood $^{-1}$ ) remained constant (Fig. 2 and fig. S3). Plasma catecholamines (noradrenaline, NA; adrenaline, AD) were not significantly elevated over published resting values ( $\leq 12$  and  $\leq 9$  nM, respectively) (fig. S4) (18).

Hypercarbia caused a significant increase in blood  $P_{CO_2}$  from  $1.7 \pm 0.1$  to  $4.0 \pm 0.3$  mmHg [ $F(5, 10) = 8.20$ ,  $P < 0.001$ ] but no significant change in RBC or plasma total  $CO_2$  ( $T_{CO_2}$ ) (table S1). The elevated  $P_{CO_2}$  elicited a significant decrease in intracellular RBC pH ( $pH_i$ ) ( $7.23 \pm 0.01$  to  $7.13 \pm 0.02$ ) and extracellular (plasma) pH ( $pH_e$ ) ( $8.09 \pm 0.04$  to  $7.79 \pm 0.04$ ) (Fig. 2 and fig. S3). There was a 65% increase in RM  $PO_2$  from  $47.1 \pm 6.4$  to  $75.2 \pm 2.2$  mmHg, a  $\Delta PO_2$  of  $30.9 \pm 7.6$  mmHg (Figs. 2 and 3 and figs. S3 and S4). Upon return to normocarbica, all physiological variables returned to baseline (Fig. 2, figs. S3 and S4, and table S1). Intra-arterial C18 injection during normocarbica decreased [Hb] and Hct with no significant effects on blood, plasma, or RM variables (Fig. 2, figs. S3 and S5, and table S1). Upon reexposure to hypercarbia (C18+hypercarbia), blood  $P_{CO_2}$

[ $F(5, 10) = 8.20$ ,  $P < 0.001$ ] and RBC  $T_{CO_2}$  [ $F(5, 10) = 3.88$ ,  $P < 0.001$ ] both increased, with plasma  $T_{CO_2}$  unaffected (table S1),  $pH_i$  decreased to  $7.14 \pm 0.02$  [ $F(5, 8) = 4.62$ ,  $P < 0.01$ ], but no changes in  $pH_e$  were observed (Fig. 2 and fig. S3). After C18 treatment, however, hypercarbia did not elicit the significant change in RM  $PO_2$  that had previously been observed [ $\Delta PO_2 = 0.01 \pm 2.96$  mmHg;  $t(15) = 3.96$ ,  $P = 0.001$ ] (Fig. 2 and figs. S3 and S5).

Our findings support the hypothesis that in a teleost fish possessing a Root effect Hb and plasma-accessible CA,  $O_2$  delivery to tissues other than the retina and swimbladder—specifically, RM—can be greatly enhanced during acidotic stress. In RM, the proposed mechanism is a cascade by which plasma-accessible CA at the tissue eliminates an arterial RBC pH disequilibrium state to acidify RBCs. This causes Hb- $O_2$  unloading and elevates RM  $PO_2$  (Fig. 1B). The level of hypercarbia we used caused only a moderate acidosis but was associated with a profound increase in RM  $PO_2$  over baseline. This effect on RM  $PO_2$  was abolished by the membrane-impermeant CA inhibitor (C18), highlighting the



**Fig. 3. Representative trace illustrating on-line changes in rainbow trout RM  $PO_2$  ( $\Delta PO_2$ ) upon exposure to hypercarbia.** The y axis represents RM  $PO_2$  (mmHg), and the x axis indicates elapsed time (min). Vertical lines indicate experimental treatments.

importance of plasma-accessible CA in the response. We estimate that a  $\Delta PO_2$  of this magnitude could almost double tissue  $O_2$  delivery with no change in perfusion (supplementary text and fig. S8). Exposure to this level of hypercarbia did not significantly elevate catecholamine levels, indicating that the treatment was not overly stressful, and activation of RBC  $\beta$ NHE may not have been the prime source of the pH disequilibrium (4); other stimuli may activate the  $\beta$ NHE (19, 20), or additional RBC NHE isoforms may exist. Activation of the RBC  $\beta$ NHE under more stressful conditions, such as exercise or hypoxaemia, may have an even greater influence on tissue oxygenation. This may be particularly important to increase aerobic metabolism during environmental or exercise stress or to speed up recovery after an intense bout of exercise—for example, after a predator-prey encounter or during long, upstream migrations, as exhibited in Pacific salmon.

It has been suggested that the Bohr effect evolved independently three times in vertebrates, but only once was this associated with the Root effect (21, 22). According to the mechanism we propose [both here and in vitro (4)], the larger Bohr associated with the Root effect could actually promote  $O_2$  delivery in teleosts. Thus, in response to previous arguments (23), a large Bohr coefficient could have been selected for without compromising general  $O_2$  delivery. For this to be the case, however, three components are required: (i) pH-sensitive Hbs, such as Root effect Hbs; (ii) plasma-accessible CA in the respective capillary beds but not at the gill (4); and (iii) RBC  $\beta$ NHE or NHE activity to generate a pH disequilibrium in the absence of CA. All of these traits may depend on phylogeny, life history, and/or lifestyle of the species. With these components in place, however, a Root

effect Hb can greatly enhance tissue  $O_2$  delivery during a relatively mild acidosis. Further studies are required to investigate the degree to which this occurs in other metabolically active tissues, other species, and ultimately, the role that this mechanism of enhanced oxygen delivery may have played in the extraordinary success of teleosts.

Root effect Hbs evolved in ray-finned fishes ~400 million years ago, at least 150 million years before the appearance of the choroid rete at the eye or *rete mirabile* at the swimbladder, the structures that are generally associated with this exceptional  $O_2$  delivery system (21, 22). Here, we provide in vivo evidence supporting the hypothesis that Root effect Hbs, in the presence of plasma-accessible CA, can greatly enhance systemic tissue  $O_2$  delivery during periods of acidotic stress. We estimate that the 65% elevation in RM  $PO_2$  would almost double tissue  $O_2$  delivery with no change in perfusion. The role of Root effect Hbs in the eye and swimbladder may, therefore, be an exaptation, as proposed by Berenbrink *et al.* (22). That is, an incipient function of Root Hbs—general  $O_2$  delivery—may have been co-opted to give rise to the complex physiological system at the eye and swimbladder 150 million to 270 million years later.

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#### Supplementary Materials

www.sciencemag.org/cgi/content/full/340/6138/1327/DC1  
Materials and Methods  
Supplementary Text  
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Table S1  
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